



## ON A THEOREM OF ALEXANDROV

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ABSTRACT. We give an elementary variational proof of classical theorems of Minkowski and Alexandrov on the existence and uniqueness, up to translations, of a closed convex hypersurface with a given Gaussian curvature (as a function of the exterior unit normal) or with a given surface function.

### 1. INTRODUCTION

1.1. **The surface function of a convex body.** Denoting by  $\mathcal{M}_+(S^{n-1})$  the cone of nonnegative Radon measures defined on the sphere  $S^{n-1}$ , we recall that any convex compact set  $A$  of  $\mathbb{R}^n$  with full dimension  $n$  (a convex *body* in the sequel) can be associated with a nonnegative measure  $\mu_A \in \mathcal{M}_+(S^{n-1})$  defined by:

$$(1) \quad \forall \varphi \in C^0(S^{n-1}), \quad \int_{S^{n-1}} \varphi(y) d\mu_A(y) = \int_{\partial A} \varphi(\nu_A(x)) d\mathcal{H}^{n-1}(x).$$

Here  $\nu_A(x)$  denotes the unit outer vector at  $x \in \partial A$ , we recall that  $\nu_A$  is uniquely defined  $\mathcal{H}^{n-1}$ -a.e. on  $\partial A$ . We shall, in the sequel, say that  $x \in \partial A$  is *singular*, if the unit outer vector at  $x \in \partial A$  is not well defined i.e.  $x$  belongs to several distinct supporting hyperplanes of  $A$  at  $x$ . Similarly  $x \in \partial A$  will be called *regular* if  $x$  is not a singular point of  $\partial A$ . Note that, by definition,  $\mu_A$  simply is the image of  $\mathcal{H}^{n-1} \llcorner \partial A$  by the Gauss map of  $\partial A$ .

As a consequence of the definition (1) of  $\mu_A$  we have  $\mu_A(S^{n-1}) = \mathcal{H}^{n-1}(\partial A)$  and

$$(2) \quad \int_{S^{n-1}} y d\mu_A(y) = 0.$$

Moreover the fact that  $A$  has nonempty interior implies a certain nondegeneracy of the measure  $\mu_A$  i.e. there exists no hyperplane in which  $\mu_A$  is supported, more precisely:

$$(3) \quad \int_{S^{n-1}} |(y_0, y)| d\mu_A(y) > 0, \text{ for any } y_0 \in S^{n-1}$$

For example, if  $A$  is a polyhedron, then  $\mu_A = \sum \alpha_i \delta_{\nu_i}$ , where  $\nu_i$  is the unit outer normal vector to the face  $i$ , and  $\alpha_i$  the corresponding surface area.

When  $A$  is a convex compact subset of  $\mathbb{R}^n$  with nonempty interior,  $\mu_A$  is called the *surface function* or *surface area measure* of the closed convex hypersurface  $\partial A$ . The notion of surface function, which essentially is an invention of Alexandrov around 1937, is a key tool to study curvature properties of convex bodies with a non smooth boundary.

**Remark 1.** Note that the surface area can be defined similarly in the degenerate case  $\dim A < n$ . In the following we will only consider convex bodies of full dimension, which is of course without loss of generality.

If the Gaussian curvature of the closed convex hypersurface  $\partial A$  is prescribed as a positive continuous function  $K(\cdot)$  of the unit exterior normal  $y$  then the surface function is given, for every Borel subset  $B$  of  $S^{n-1}$  by the formula:

$$(4) \quad \mu_A(B) = \int_B \frac{dy}{K(y)}$$

where  $dy$  denotes the Haar measure of  $S^{n-1}$ .

Let us remark that in the class of convex bodies, those (smooth) ones whose Gaussian curvature can be expressed as a positive continuous function of the unit exterior normal are exceptional (in the sense of Baire's category) as the striking results of Zamfirescu [15, 16] show.

**1.2. Minkowski and Alexandrov's theorems.** The classical Minkowski problem is the inverse problem of existence and uniqueness of a closed convex hypersurface with prescribed Gaussian curvature expressed as a function of the exterior normal unit vector. In 1937, Alexandrov has considered the following generalization of this inverse problem : given a measure  $\mu \in \mathcal{M}_+(S^{n-1})$ , can one find a convex closed hypersurface  $\partial A$  such that  $\mu = \mu_A$ ? Alexandrov proved that conditions (2) and (3) appear as not only necessary but also as sufficient for the existence of such a convex hypersurface  $\partial A$ , moreover  $A$  is unique up to translations.

**Theorem 1** (Alexandrov). *Let  $\mu$  be some positive measure on  $S^{n-1}$  which satisfies*

$$\int_{S^{n-1}} y d\mu(y) = 0, \quad \int_{S^{n-1}} |(y_0, y)| d\mu(y) > 0, \text{ for any } y_0 \in S^{n-1}$$

*then there exists a convex body  $A$ , which is unique up to translation, for which  $\mu$  is its surface function :  $\mu = \mu_A$ .*

In view of (4), this result appears as a generalization of an earlier theorem proved by Minkowski in 1903 [9]:

**Theorem 2** (Minkowski). *Let  $K$  be a positive continuous function on  $S^{n-1}$  satisfying:*

$$\int_{S^{n-1}} \frac{y}{K(y)} d\mu(y) = 0$$

*then there exists a convex body  $A$ , which is unique up to translation, for which  $K(y)$  is the Gaussian curvature at any point  $x \in \partial A$  with exterior unit normal  $y$ .*

Theorems 1 and 2 are well-known : see the original articles of Minkowski [9], Alexandrov [1], and for a more modern presentation, for instance, Bakelman's book [2]. The usual strategy for proving theorem 1 is to prove the result for convex polyhedra (for convex polyhedra, i.e. when  $\mu$  is a weighted sum of Dirac masses, the theorem was proved by Minkowski himself, in the case  $n = 3$ , in 1897, see [10]) and then to use an approximation argument to prove Alexandrov's theorem in its generality.

**1.3. Variational characterizations: how and why?** As a matter of fact, the classical proof of theorem 1 for polyhedra and Minkowski's original approach rely on an optimization problem, for which the Euler-Lagrange equation exactly yields the result. The purpose of the present article is firstly to answer the following natural question: given  $\mu$  which satisfies the assumptions of theorem 1, is there a simple variational characterization of convex bodies  $A$  for which  $\mu = \mu_A$ ? Secondly, can one give an elementary and direct (i.e. not using approximation by polyhedra) proof of theorem 1 using some extremum problem which generalizes that introduced by Minkowski?

Some motivations for obtaining and emphasizing a variational characterization are: investigation of numerical methods for solving Minkowski-Alexandrov inverse problem as well as the study of some shape optimization problems subject to a convexity constraint (see [4], [5]).

As far as I know, the known variational characterization of solutions of Minkowski-Alexandrov problem actually uses theorem 1 and *Minkowski's first inequality for convex bodies*. This characterization reads as : if  $A$  is a convex solution of  $\mu_A = \mu$  then, up to an homothety,  $A$  minimizes the functional:

$$L \mapsto \int_{S^{n-1}} \sup_{x \in L} (x \cdot y) d\mu(y)$$

under the condition  $\text{vol}(L) = 1$  (see for instance the recent article of Gardner [8], where connections with the *Wulff* equilibrium shape of crystals are mentioned). The idea of the present work is to directly study a variational problem (not the one mentioned above, but a kind of dual one) and to deduce from an elementary study of this problem theorem 1 as well as a variational characterization.

In the following section, we introduce a variational problem related to Alexandrov's problem. More precisely, we shall show that this problem admits a unique (up to some normalizations) solution and that the Euler-Lagrange equation of this problem is equivalent to solving  $\mu = \mu_A$  with  $A$  convex.

## 2. A VARIATIONAL PROBLEM RELATED TO ALEXANDROV-MINKOWSKI'S PROBLEM

Given a nonnegative Borel measure  $\mu$  which satisfies (2) and (3), our goal is to find some *Alexandrov body* associated with  $\mu$  i.e. a convex body  $A$  such that  $\mu = \mu_A$ .

To that end, we consider the following maximization problem:

$$(5) \quad \sup_{\varphi \in \mathcal{S}} J(\varphi)$$

where we define:

$$(6) \quad \mathcal{S} := \left\{ \varphi \in C^0(S^{n-1}, \mathbb{R}) : \varphi \geq 0, \int_{S^{n-1}} \varphi d\mu = 1 \right\}$$

$$(7) \quad J(\varphi) := \text{vol}(A(\varphi))$$

and:

$$(8) \quad A(\varphi) := \{x \in \mathbb{R}^n : x \cdot y \leq \varphi(y), \text{ for all } y \in S^{n-1}\}$$

Let us first remark that the condition  $\varphi \geq 0$  is equivalent to  $0 \in A(\varphi)$  which is some normalization condition on  $A(\varphi)$ . Secondly, note that  $J^{\frac{1}{n}}$  is concave (hence  $J$  is quasiconcave) on  $\mathcal{S}$ , indeed for  $(\varphi, \psi) \in \mathcal{S}^2$  and  $t \in [0, 1]$ , one obviously has  $tA(\varphi) + (1-t)A(\psi) \subset A(t\varphi + (1-t)\psi)$ , hence Brunn-Minkowski inequality yields:

$$J^{1/n}(t\varphi + (1-t)\psi) \geq tJ^{1/n}(\varphi) + (1-t)J^{1/n}(\psi)$$

and the inequality is strict unless  $A(\varphi)$  and  $A(\psi)$  are dilates or translates to each other. Concerning Brunn-Minkowski inequality and its numerous applications, we refer the reader to the books of Bakelman [2], Schneider [14] and to the recent inspiring article of Gardner [8].

Finally, given  $\varphi \in \mathcal{S}$  define  $\sigma$  the support function of  $A(\varphi)$ :

$$\sigma(y) := \sup_{x \in A(\varphi)} x \cdot y, \text{ for all } y \in S^{n-1}$$

obviously one has  $0 \leq \sigma \leq \varphi$  and, by Hahn-Banach's theorem,  $A(\varphi) = A(\sigma)$ . Let us define then

$$(9) \quad \tilde{\varphi} := \frac{\sigma}{\int_{S^{n-1}} \sigma d\mu} \in \mathcal{S}$$

we have  $J(\tilde{\varphi}) \geq J(\varphi)$  and the inequality is strict unless  $\varphi = \sigma = \tilde{\varphi}$  on the support of  $\mu$ . Therefore, if the supremum of (5) is attained at  $\varphi \in \mathcal{S}$  one has  $\varphi = \tilde{\varphi}$  on the support of  $\mu$ .

**2.1. Existence.** Given  $e \in S^{n-1}$ , we denote in the sequel by  $e^{++}$  the open half space  $e^{++} := \{x \in \mathbb{R}^n : x \cdot e > 0\}$ .

**Lemma 1.** *There exists  $R > 0$  such that  $A(\varphi) \subset B(0, R)$  for all  $\varphi \in \mathcal{S}$ .*

*Proof.* Assume on the contrary that there exists a sequence  $(\varphi_k)_k \in \mathcal{S}^N$  and  $x_k \in A_k := A(\varphi_k)$  such that  $\lim_k |x_k| = +\infty$ . Up to a subsequence, we may assume that  $x_k/|x_k|$  converges to some  $e \in S^{n-1}$ :

$$x_k = |x_k|(e + \varepsilon_k), \text{ with } \lim_k \varepsilon_k = 0.$$

Define for  $\delta \in (0, 1)$ :

$$S_\delta := \{y \in S^{n-1} : e \cdot y \geq \delta\}.$$

If  $y \in S_\delta$ , then we have

$$\varphi_k(y) \geq x_k \cdot y = |x_k|(e + \varepsilon_k) \cdot y \geq |x_k|(\delta - |\varepsilon_k|)$$

so that:

$$(10) \quad \int_{S^{n-1}} \varphi_k d\mu \geq |x_k|(\delta - |\varepsilon_k|)\mu(S_\delta)$$

Note now that since  $S_\delta \uparrow S^{n-1} \cap e^{++}$  as  $\delta \rightarrow 0^+$ :

$$\lim_{\delta \rightarrow 0^+} \mu(S_\delta) = \mu(S^{n-1} \cap e^{++})$$

and  $\mu(S^{n-1} \cap e^{++}) > 0$  since otherwise one would have  $e \cdot y \leq 0$   $\mu$ -a.e., which together with (2) would imply  $e \cdot y = 0$   $\mu$ -a.e., contradicting (3).

Let us now take  $\delta \in (0, 1)$  such that  $\mu(S_\delta) > 0$  in (10), we get:

$$\lim_k \int_{S^{n-1}} \varphi_k d\mu = +\infty$$

which contradicts  $\int_{S^{n-1}} \varphi_k d\mu = 1$  for every  $k$ .  $\square$

**Proposition 1.** *The maximization problem (5) admits at least one solution  $\varphi$ . If  $\varphi$  and  $\psi$  are two solutions of (5), then  $A(\varphi)$  and  $A(\psi)$  are translates to each other: there exists  $x_0 \in -A(\varphi) \cap A(\psi)$  such that  $A(\psi) = x_0 + A(\varphi)$  and  $\psi(y) = \varphi(y) + x_0 \cdot y$  for all  $y$  in the support of  $\mu$ .*

**Remark 2.** Note that if  $\varphi$  and  $\psi$  are two solutions of (5), then defining  $\tilde{\varphi}$  and  $\tilde{\psi}$  by (9), there exists  $x_0 \in -A(\varphi) \cap A(\psi)$  such that  $\tilde{\psi}(y) = \tilde{\varphi}(y) + x_0 \cdot y$  for all  $y \in S^{n-1}$ .

*Proof.* Let us first prove the existence part. Let  $(\varphi_k)_k \in \mathcal{S}^N$  be a maximizing sequence of (5) and define  $A_k := A(\varphi_k)$ . Thanks to Lemma 1, we may assume, up to some subsequence that  $A_k$  converges to some compact set  $A$  for Hausdorff distance and also in the sense of Kuratowski (see for instance [13]):

$$(11) \quad A = \overline{\lim}_k A_k = \underline{\lim}_k A_k$$

Obviously we have  $0 \in A$ ,  $A$  is convex and  $\lim_k \text{vol}(A_k) = \text{vol}(A) = \sup_{\varphi \in \mathcal{S}} J(\varphi)$ .

Let  $\sigma$  be the support function of  $A$  so that  $A = A(\sigma)$ . Let  $x \in A$  and  $y \in S^{n-1}$ ; using (11), there exists a sequence  $x_k \in A_k$  converging to  $x$  so that  $\underline{\lim}_k \varphi_k(y) \geq \underline{\lim}_k x_k \cdot y = x \cdot y$  hence  $\sigma \leq \underline{\lim}_k \varphi_k$  so that  $\int_{S^{n-1}} \sigma d\mu \leq 1$ . If we define:

$$\tilde{\varphi} := \frac{\sigma}{\int_{S^{n-1}} \sigma d\mu} \in \mathcal{S}$$

we have

$$\sup_{\varphi \in \mathcal{S}} J(\varphi) \geq J(\tilde{\varphi}) = \text{vol}(A) \left( \int_{S^{n-1}} \sigma d\mu \right)^{-n} \geq \sup_{\varphi \in \mathcal{S}} J(\varphi)$$

hence we have  $\int_{S^{n-1}} \sigma d\mu = 1$ ,  $\sigma \in \mathcal{S}$  and  $\sigma$  is a solution of (5).

Assume now that  $\varphi$  and  $\psi$  are two solutions of (5) and define  $\tilde{\varphi}$ ,  $\tilde{\psi}$  by (9). As already noted,  $\tilde{\varphi}$  and  $\tilde{\psi}$  also are solutions of (5) and  $\varphi = \tilde{\varphi}$ ,  $\psi = \tilde{\psi}$  on the support of  $\mu$ . Now Brunn-Minkowski inequality implies that for all  $t \in (0, 1)$ :

$$J(t\varphi + (1-t)\psi) \geq \sup_{\varphi \in \mathcal{S}} J(\varphi) = \text{vol}(A(\varphi)) = \text{vol}(A(\psi))$$

and the inequality is strict unless  $A(\varphi)$  and  $A(\psi)$  are translates to each other. Hence  $A(\psi) = x_0 + A(\varphi)$  with  $x_0 \in -A(\varphi) \cap A(\psi)$  since  $0 \in A(\varphi) \cap A(\psi)$ . This finally implies  $\tilde{\psi}(y) = \tilde{\varphi}(y) + x_0 \cdot y$  for all  $y \in S^{n-1}$ , which ends the proof.  $\square$

**2.2. Euler-Lagrange Equation.** We first need a preliminary result:

**Lemma 2.** *Let  $g \in C^0(S^{n-1}, \mathbb{R}_+^*)$ , let  $\sigma_g$  be the support function of  $A(g)$ :*

$$\sigma_g(\nu) := \sup_{x \in A(g)} x \cdot \nu, \text{ for all } \nu \in S^{n-1}$$

*then for every regular point  $x$  of  $\partial A(g)$ , with outer unit normal  $\nu(x)$  one has  $\sigma_g(\nu(x)) = g(\nu(x))$ . Let  $\mu_g := \mu_{A(g)}$  be the surface function of  $A(g)$  then we have:*

$$\mu_g(\{\nu \in S^{n-1} : \sigma_g(\nu) \neq g(\nu)\}) = 0.$$

*Proof.* Let  $x \in \partial A(g)$  and let  $\nu \in S^{n-1}$  be such that  $x \cdot \nu = \sigma_g(\nu)$ . Assume that  $\sigma_g(\nu) \neq g(\nu)$  hence  $\sigma_g(\nu) < g(\nu)$ .

First, we claim that there exists  $\nu' \in S^{n-1}$  such that  $x \cdot \nu' = g(\nu')$ , otherwise, by compactness of  $S^{n-1}$  we would have:

$$\sup_{\nu' \in S^{n-1}} x \cdot \nu' - g(\nu') < 0$$

which would imply that  $x$  lies in the interior of  $A(g)$ ; since it is not the case, there exists then  $\nu' \in S^{n-1}$  such that  $g(\nu') = \sigma_g(\nu') = x \cdot \nu'$ , in particular  $\nu' \neq \nu$ .

This proves that  $x$  belongs to two different supporting hyperplanes of  $A(g)$ , with respective normal vectors  $\nu$  and  $\nu'$ ,  $x$  is therefore a singular point of  $\partial A(g)$ .

By definition of  $\mu_g$  we have:

$$\mu_g(\{\nu \in S^{n-1} : \sigma_g(\nu) \neq g(\nu)\}) \leq \mathcal{H}^{n-1}(\{x \in \partial A(g) : x \text{ is singular}\})$$

since the rightmost member of this inequality is 0, we are done.  $\square$

**Proposition 2.** *Let  $\varphi \in C^0(S^{n-1}, \mathbb{R}_+^*)$  and  $f \in C^0(S^{n-1}, \mathbb{R})$ , one has:*

$$(12) \quad \lim_{t \rightarrow 0^+} \frac{1}{t} [J(\varphi + tf) - J(\varphi)] = \int_{\partial A(\varphi)} f(\nu(x)) d\mathcal{H}^{n-1}(x)$$

*where  $\nu(x)$  denotes the exterior normal unit vector to  $\partial A(\varphi)$  at  $x \in \partial A(\varphi)$ .*

*Proof.* Define  $A := A(\varphi)$  and  $A_t := A(\varphi + tf)$ . For  $t > 0$  small enough  $\varphi + tf > 0$  and  $A_t \subset B_t$  with:

$$B_t := \{x \in \mathbb{R}^n : x \cdot \nu(z) \leq \varphi(\nu(z)) + tf(\nu(z)), \text{ for every regular point } z \text{ of } \partial A\}$$

Using Lemma 2, if  $z \in \partial A$  is regular, we have  $z \cdot \nu(z) = \varphi(\nu(z))$ , so that:

$$B_t = \{x \in \mathbb{R}^n : (x - z) \cdot \nu(z) \leq tf(\nu(z)), \text{ for every regular point } z \text{ of } \partial A\}$$

we get then:

$$(13) \quad \text{vol}(A_t) \leq \text{vol}(B_t) = \text{vol}(A) + t \int_{\partial A(\varphi)} f(\nu(x)) d\mathcal{H}^{n-1}(x) + o(t)$$

so that:

$$(14) \quad \overline{\lim}_{t \rightarrow 0^+} \frac{1}{t} [J(\varphi + tf) - J(\varphi)] \leq \int_{\partial A} f(\nu(x)) d\mathcal{H}^{n-1}(x)$$

Similarly, we have

$$A \subset C_t := \{x \in \mathbb{R}^n : x \cdot \nu(z) \leq \varphi(\nu(z)), \text{ for every regular point } z \text{ of } \partial A_t\}$$

Using Lemma 2, for every regular point  $z$  of  $\partial A_t$ , one has:

$$z \cdot \nu(z) = \varphi(\nu(z)) + tf(\nu(z))$$

which yields:

$$C_t = \{x \in \mathbb{R}^n : (x - z) \cdot \nu(z) \leq -tf(\nu(z)), \text{ for every regular point } z \text{ of } \partial A_t\}$$

hence, we obtain:

$$(15) \quad \text{vol}(A) \leq \text{vol}(C_t) = \text{vol}(A_t) - t \int_{\partial A_t} f(\nu(x)) d\mathcal{H}^{n-1}(x) + o(t)$$

so that

$$(16) \quad \underline{\lim}_{t \rightarrow 0^+} \frac{1}{t} [J(\varphi + tf) - J(\varphi)] \geq \underline{\lim}_{t \rightarrow 0^+} \int_{\partial A_t} f(\nu(x)) d\mathcal{H}^{n-1}(x)$$

To achieve the proof, using (14) and (16) it is enough to prove that:

$$(17) \quad \underline{\lim}_{t \rightarrow 0^+} \int_{\partial A_t} f(\nu(x)) d\mathcal{H}^{n-1}(x) = \int_{\partial A} f(\nu(x)) d\mathcal{H}^{n-1}(x)$$

Denoting by  $\mu_0$  the surface function of  $A$  and by  $\mu_t$  the surface function of  $A_t$ , to prove (17), it is enough to prove that  $\mu_t$  converges weakly  $*$  to  $\mu_0$  in  $\mathcal{M}(S^{n-1})$  (where  $\mathcal{M}(S^{n-1})$  denotes the space of Radon measures on  $S^{n-1}$ ). The weak  $*$  convergence of  $\mu_t$  to  $\mu_0$  is a well-known result see for instance [2] and [14]. For the sake of completeness however, we prefer to prove (17) directly, closely following arguments of Buttazzo and Guasoni (see [4]) based on a theorem of Reshetnyak (see [11]).

Denoting by  $\chi_C$  the indicatrix function of a measurable set  $C \subset \mathbb{R}^n$ , it is straightforward to see that  $\chi_{A_t}$  converges to  $\chi_A$  in  $L^1$  as  $t$  goes to 0. Define the vector measures of finite variation:

$$\tau_t := D\chi_{A_t}, \text{ for } t > 0, \text{ and } \tau_0 := D\chi_A$$

where  $D\chi_C$  stands for the derivative in the sense of Schwartz's distributions of  $\chi_C$ .

Using Lemma 3.1 of [4], the sequence  $\tau_t$  is compact with respect to the convergence in variation, this obviously implies that  $\tau_t$  converges to  $\tau_0$  with respect to the convergence in variation. Consider now the functional:

$$\tau \in \mathcal{M} \mapsto F(\tau) := \int_{\mathbb{R}^n} f \left( -\frac{d\tau}{d|\tau|} \right) d|\tau|$$

where  $\mathcal{M}$  denotes the class of measures of finite variation with values in  $\mathbb{R}^n$ ,  $|\tau|$  denotes the variation measure associated to  $\tau \in \mathcal{M}$  and  $\frac{d\tau}{d|\tau|}$  denotes the Radon-Nikodym derivative of  $\tau$  with respect to  $|\tau|$ . A theorem of Reshetnyak [11] states that  $F$  is continuous with respect to the convergence in variation, this yields:

$$(18) \quad \lim_{t \rightarrow 0^+} F(\tau_t) = F(\tau_0)$$

Since:

$$|\tau_t| = \mathcal{H}^{n-1} \llcorner \partial A_t \text{ and } \frac{d\tau_t}{d|\tau_t|} = -\nu_{\partial A_t}, \text{ for } t \geq 0$$

we have:

$$F(\tau_t) = \int_{\partial A_t} f(\nu(x)) d\mathcal{H}^{n-1}(x), \text{ for } t > 0, \text{ and } F(\tau_0) = \int_{\partial A} f(\nu(x)) d\mathcal{H}^{n-1}(x)$$

with (18), this finally establishes (17).  $\square$

As a consequence, the Euler-Lagrange equation of (5) turns out to be equivalent (up to some normalizations) to finding an Alexandrov body associated with  $\mu$ :

**Theorem 3.** *Let  $\varphi \in \mathcal{S}$ , then  $\varphi$  is a solution of (5) if and only if there exists  $\lambda > 0$  such that  $\mu_{A(\varphi)} = \lambda\mu$  i.e.  $A(\varphi)$  is an Alexandrov body associated with  $\lambda\mu$ .*

*Proof.* Let  $\varphi$  be a solution of (5) as already noticed we may assume that  $\varphi = \tilde{\varphi}$ . If 0 belongs to the interior of  $A(\varphi)$  then  $\varphi > 0$  and if  $0 \in \partial A(\varphi)$  changing  $A(\varphi)$  into  $A(\varphi) + \varepsilon\nu(0)$  and  $\varphi(y)$  into  $\varphi(y) + \varepsilon\nu(0) \cdot y$  where  $\nu(0)$  is the unit exterior vector to some supporting hyperplane at 0 to  $A(\varphi)$  and  $\varepsilon > 0$  is such that 0 is interior to  $A(\varphi + \varepsilon\nu(0))$ , we may assume in any case after this translation that  $\varphi > 0$ .

Let  $f \in C^0(S^{n-1}, \mathbb{R})$  be such that  $\int_{S^{n-1}} f d\mu = 0$ , for small enough  $t$  we have  $\varphi + tf \in \mathcal{S}$  so that, with proposition 2

$$\lim_{t \rightarrow 0^+} \frac{1}{t} [J(\varphi + tf) - J(\varphi)] = \int_{\partial A(\varphi)} f(\nu(x)) d\mathcal{H}^{n-1}(x) \leq 0$$

changing  $f$  into  $-f$  we obtain  $\int_{S^{n-1}} f d\mu_{A(\varphi)} = 0$  so that  $\mu$  and  $\mu_{A(\varphi)}$  are proportional :  $\mu_{A(\varphi)} = \lambda\mu$  the fact that  $\lambda > 0$  follows from  $\int_{S^{n-1}} d\mu > 0$  and  $\int_{S^{n-1}} d\mu_{A(\varphi)} > 0$ .

Conversely assume that there exists  $\lambda > 0$  such that  $\mu_{A(\varphi)} = \lambda\mu$ , without loss of generality we may assume again that  $\varphi = \tilde{\varphi} > 0$ . Let  $\psi \in \mathcal{S}$ , since  $J^{1/n}$  is concave and using proposition 2 we have

$$J^{1/n}(\psi) \leq J^{1/n}(\varphi) + \frac{1}{nJ^{\frac{n-1}{n}}(\varphi)} \int_{\partial A(\varphi)} (\psi - \varphi)(\nu(x)) d\mathcal{H}^{n-1}(x)$$

since:

$$\int_{\partial A(\varphi)} (\psi - \varphi)(\nu(x)) d\mathcal{H}^{n-1}(x) = \lambda \left( \int_{S^{n-1}} \psi d\mu - \int_{S^{n-1}} \varphi d\mu \right) = 0$$

we obtain that  $\varphi$  is a solution of (5).  $\square$

**Remark 3.** Let us remark that  $\lambda$  in the previous result (a Lagrange multiplier associated with the constraint  $\int_{S^{n-1}} \varphi d\mu = 1$ ) is unique i.e. does not depend on the maximizer  $\varphi$ . Indeed let  $\varphi_i = \tilde{\varphi}_i$ ,  $i = 1, 2$  be two maximizers of (5), so that  $\mu_{A(\varphi_i)} = \lambda_i\mu$ ,  $i = 1, 2$ . Note that:

$$\begin{aligned} \text{vol}(A(\varphi_i)) &= \frac{1}{n} \int_{\partial A(\varphi_i)} x \cdot \nu(x) d\mathcal{H}^{n-1}(x) = \frac{1}{n} \int_{\partial A(\varphi_i)} \varphi_i(\nu(x)) d\mathcal{H}^{n-1}(x) \\ &= \frac{\lambda_i}{n} \int_{S^{n-1}} \varphi_i d\mu = \frac{\lambda_i}{n} \end{aligned}$$

since  $\text{vol}(A(\varphi_1)) = \text{vol}(A(\varphi_2))$  we obtain:

$$\lambda_1 = \lambda_2 = n \sup_{\varphi \in \mathcal{S}} J(\varphi).$$



## 3. LINK WITH ALEXANDROV'S THEOREM

Let us shortly prove that theorem 3 actually implies Alexandrov's theorem (and Minkowski's as well in the special case where  $\mu$  has a continuous positive density with respect to the Haar measure of  $S^{n-1}$ ). Indeed, if  $\varphi$  is a solution of (5) then

$$A := \left( \frac{\mu(S^{n-1})}{\mathcal{H}^{n-1}(\partial A(\varphi))} \right)^{\frac{1}{n-1}} A(\varphi)$$

obviously is an Alexandrov body associated with  $\mu$ .

Theorem 3 also implies uniqueness up to translations of Alexandrov's bodies. Assume there are two such convex bodies  $A_i$ ,  $\mu_{A_i} = \mu$  for  $i = 1, 2$ . Translating those sets if necessary assume  $0 \in A_1 \cap A_2$ , define  $\sigma_i$  as the support function of  $A_i$  and

$$\varphi_i := \frac{\sigma_i}{\int_{S^{n-1}} \sigma_i d\mu}, \text{ for } i = 1, 2$$

since  $\varphi_1$  and  $\varphi_2$  satisfy the requirement of theorem 3, they are both solutions of (5), we then have:

$$(19) \quad \frac{\text{vol}(A_1)}{\left(\int_{S^{n-1}} \sigma_1 d\mu\right)^n} = \frac{\text{vol}(A_2)}{\left(\int_{S^{n-1}} \sigma_2 d\mu\right)^n}$$

and  $A_1/(\int_{S^{n-1}} \sigma_1 d\mu)$  and  $A_2/(\int_{S^{n-1}} \sigma_2 d\mu)$  are translates to each other. Note now that

$$\text{vol}(A_1) = \frac{1}{n} \int_{\partial A_1} x \cdot \nu(x) d\mathcal{H}^{n-1}(x) = \frac{1}{n} \int_{\partial A_1} \sigma_1(\nu(x)) d\mathcal{H}^{n-1}(x) = \frac{1}{n} \int_{S^{n-1}} \sigma_1 d\mu$$

using a similar formula for  $A_2$  and (19) we get  $\int_{S^{n-1}} \sigma_1 d\mu = \int_{S^{n-1}} \sigma_2 d\mu$  so that  $A_1$  and  $A_2$  are translates to each other.

This actually proves Alexandrov's theorem.

**Remark 4.** We conclude this paper by two remarks. It is easy to check that the variational characterization provided by theorem 3 is equivalent to the *dual* one mentioned in section 1.3. More precisely,  $\varphi \in \mathcal{S}$  is a solution of (5) if and only if  $A(\varphi)$  minimizes the functional:

$$L \mapsto \int_{S^{n-1}} \sup_{x \in L} (x \cdot y) d\mu(y)$$

under the condition  $\text{vol}(L) = v_0$  where  $v_0 := \sup_{\varphi \in \mathcal{S}} J(\varphi)$ . Eventhough (5) and the previous problem are equivalent, it is clear that (5), as a very simple concave programming problem, is much easier to study.

Finally, we would like to mention a formal analogy with the variational approach used in this paper to prove Alexandrov's theorem and the method used by Gangbo [7] to prove Brenier's polar factorization theorem [3].

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