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ALMOST CONVERGENCE AND A DUAL ERGODIC THEOREM FOR NONLINEAR SEMIGROUPS

SIMEON REICH AND AYA WALLWATER

ABSTRACT. We use Banach limits and the concept of almost convergence to establish a dual mean ergodic theorem for nonexpansive nonlinear semigroups in uniformly smooth Banach spaces.

1. INTRODUCTION

The main purpose of this note is to establish a dual mean ergodic theorem for continuous nonlinear semigroups of nonexpansive mappings in uniformly smooth Banach spaces (Theorem 4.1 below). This is a continuous analog of the discrete dual ergodic theorem presented in [4]. Since the proof uses Banach limits, we devote the next section of our note to them. Section 3 is devoted to the concept of almost convergence introduced by Lorentz in [5]. After proving our main result in Section 4, we deduce from it a version of the mean ergodic theorem for nonlinear semigroups in Hilbert space (Corollary 4.2).

2. BANACH LIMITS

Consider the space $(B, \|\cdot\|)$ of all real bounded functions defined on the interval $[0, \infty)$, equipped with the supremum norm. That is, for each $x \in B$,

(2.1)
$$||x|| := \sup\{|x(s)|: s \ge 0\}.$$

It is well known that B is a Banach space. Let $p: B \to \mathbb{R}$ be the functional defined by

(2.2)
$$p(x) := \inf_{n; \alpha_1, \dots, \alpha_n} \left[\limsup_{s \to \infty} \frac{1}{n} \sum_{k=1}^n x(s + \alpha_k) \right],$$

where n is a natural number and $\{\alpha_1, ..., \alpha_n\}$ is an arbitrary set of n positive numbers.

It is not difficult to check that p is a sublinear and positively homogeneous functional over B.

It now follows from the Hahn-Banach theorem [1, p. 33] that to each function $x \in B$, one can assign a real number $\lim_{x \to a} x(s)$ so that for every $x, y \in B$ and for

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every $a, b \in \mathbb{R}$ and $s_0 \ge 0$,

(2.3)
$$-p(-x) \le \lim_{s \to \infty} x(s) \le p(x);$$

(2.4)
$$\lim_{s \to \infty} \left[ax\left(s\right) + by\left(s\right) \right] = a \lim_{s \to \infty} x\left(s\right) + b \lim_{s \to \infty} y\left(s\right);$$

(2.5)
$$\lim_{s \to \infty} x(s) \ge 0 \text{ when } x(s) \ge 0 \text{ for all } s \ge 0;$$

(2.6)
$$\lim_{s \to \infty} x \left(s + s_0 \right) = \lim_{s \to \infty} x \left(s \right) ;$$

$$\lim_{s \to \infty} 1 = 1.$$

Defining the linear functional Lim on B by

$$\operatorname{Lim}(x) := \operatorname{Lim}_{s \to \infty} x(s), \quad x \in B,$$

we see that Lim belongs to the dual space B^* of B and that $\|\text{Lim}\| = 1$. We call any such functional a *Banach limit* on B. If $x \in B$ and $L = \lim_{s \to \infty} x(s)$ exists, then Lim(x) = L.

Next, we relate Banach limits to Gâteaux differentiability. Let E be an arbitrary real Banach space.

Definition 2.1. We say that $g: E \times [0, \infty) \to \mathbb{R}$ is *Gâteaux differentiable* in the variable x in E, uniformly in $t \ge 0$, if for each x in E and y in the unit sphere of E, the limit

$$g'_{x}(x,t)(y) = \lim_{r \to 0} \frac{g(x+ry,t) - g(x,t)}{r}$$

exists uniformly in $t \ge 0$.

Lemma 2.2. Let $g: E \times [0, \infty) \to \mathbb{R}$ be Gâteaux differentiable in the variable x in E, uniformly in $t \ge 0$, and bounded in the variable $t \in [0, \infty)$. Let Lim be a Banach limit and define $f: E \to \mathbb{R}$ by $f(x) = \lim_{t \to \infty} g(x, t)$. Then f is Gâteaux differentiable at every point x in E and

$$f'(x)(y) = \lim_{t \to \infty} g'_x(x,t)(y)$$

for each x in E and y in the unit sphere of E.

Proof. Fix $x \in E$, y in the unit sphere of E, and $\epsilon > 0$. There is a positive number $\delta = \delta(\epsilon)$ such that, if $|r| < \delta$, then

$$\left|\frac{g\left(x+ry,t\right)-g\left(x,t\right)}{r}-g_{x}'\left(x,t\right)\left(y\right)\right|<\frac{\epsilon}{2}.$$

for all $t \ge 0$. Hence

$$\left|\lim_{t\to\infty}\left[\frac{g\left(x+ry,t\right)-g\left(x,t\right)}{r}-g'_{x}\left(x,t\right)\left(y\right)\right]\right|\leq\frac{\epsilon}{2}$$

and

$$\left|\frac{f\left(x+ry\right)-f\left(x\right)}{r}-\lim_{t\to\infty}g'_{x}\left(x,t\right)\left(y\right)\right|<\epsilon$$

for all $|r| < \delta$. Thus f is indeed Gâteaux differentiable at x and

$$f'(x)(y) = \lim_{t \to \infty} g'_x(x,t)(y)$$

as claimed.

3. Almost convergence

Following G. G. Lorentz [5], who studied Banach limits on l^{∞} , we say that $x \in B$ is almost convergent if $\lim_{s \to \infty} x(s)$ is the same for every Banach limit Lim on B. In this case we call $\lim_{s \to \infty} x(s)$ the B-limit of x. If $L = \lim_{s \to \infty} x(s)$ exists, then x is clearly almost convergent and its B-limit coincides with L.

The following proposition provides us with a characterization of almost convergence in terms of the functional $p: B \to \mathbb{R}$.

Proposition 3.1. The function $x \in B$ is almost convergent if and only if

$$p\left(x\right) = -p\left(-x\right).$$

The discrete analog of this proposition is presented by Lorentz in [5, p. 169]. Proposition 3.1 can be proved using arguments analogous to his [12, p. 11].

Theorem 3.2. Let $x \in B$ be a measurable function. If x is almost convergent, then

$$\lim_{T \to \infty} \frac{1}{T} \int_{c}^{c+T} x(s) \, ds$$

exists uniformly in $c \ge 0$. Moreover, this limit coincides with the B-limit of x.

Proof. Assume that $x \in B$ is almost convergent. Then there exists $a \in \mathbb{R}$ such that p(x) = -p(-x) = a. Fix $\epsilon > 0$. The equality

$$p(x) = \inf_{n; \alpha_1, \dots, \alpha_n} \left[\limsup_{s \to \infty} \frac{1}{n} \sum_{k=1}^n x(s + \alpha_k) \right] = a$$

implies that there exist $n; \alpha_1, ..., \alpha_n$ such that

$$\lim_{s \to \infty} \left(\sup_{t \ge s} \frac{1}{n} \sum_{k=1}^{n} x \left(t + \alpha_k \right) \right) = \limsup_{s \to \infty} \frac{1}{n} \sum_{k=1}^{n} x \left(s + \alpha_k \right) < a + \epsilon.$$

Therefore, for sufficiently large *s*, say $s \ge s_0$, we have

$$\frac{1}{n}\sum_{k=1}^n x\left(s+\alpha_k\right) < a+\epsilon.$$

Substituting $\beta_k = \alpha_k + s_0$ and $t = s - s_0$, we get

$$\frac{1}{n}\sum_{k=1}^{n} x\left(t+\beta_k\right) < a+\epsilon, \qquad \forall t \ge 0.$$

We now obtain, for every $c \ge 0$,

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$$T(a+\epsilon) \ge \int_{c}^{c+T} \frac{1}{n} \sum_{k=1}^{n} x\left(t+\beta_{k}\right) dt = \frac{1}{n} \sum_{k=1}^{n} \int_{c}^{c+T} x\left(t+\beta_{k}\right) dt$$
$$= \int_{c}^{c+T} x\left(t\right) dt + \frac{1}{n} \sum_{k=1}^{n} \int_{c+\beta_{k}}^{c+T+\beta_{k}} x\left(t\right) dt - \int_{c}^{c+\beta_{k}} x\left(t\right) dt \right]$$
$$= \int_{c}^{c+T} x\left(t\right) dt + \frac{1}{n} \sum_{k=1}^{n} \left[\int_{c+T}^{c+T+\beta_{k}} x\left(t\right) dt - \int_{c}^{c+\beta_{k}} x\left(t\right) dt \right]$$
$$\underset{\substack{\geq\\ M = \sup_{s \ge 0} |x(s)|}{\geq} \int_{c}^{c+T} x\left(t\right) dt - \frac{2M}{n} \sum_{k=1}^{n} \beta_{k}.$$

Hence, for every $c \ge 0$, we have

$$\frac{1}{T} \int_{c}^{c+T} x(t) dt \le a + \epsilon + \frac{1}{T} \left(\frac{2M}{n} \sum_{k=1}^{n} \beta_k \right).$$

Therefore, for any large enough T, we get

(3.1)
$$\frac{1}{T} \int_{c}^{c+T} x(t) dt < a + 2\epsilon, \qquad \forall c \ge 0$$

On the other hand, the equality

$$-p(-x) = \sup_{n; \alpha_1, \dots, \alpha_n} \left[\liminf_{s \to \infty} \frac{1}{n} \sum_{k=1}^n x(s + \alpha_k) \right] = a$$

implies that there exist $n; \alpha_1, ..., \alpha_n$ such that

$$\lim_{s \to \infty} \left(\inf_{t \ge s} \frac{1}{n} \sum_{k=1}^n x \left(t + \alpha_k \right) \right) = \liminf_{s \to \infty} \frac{1}{n} \sum_{k=1}^n x \left(s + \alpha_k \right) > a - \epsilon.$$

Therefore, for sufficiently large s, say $s \geq \tilde{s}_0$, we have

$$\frac{1}{n}\sum_{k=1}^{n}x\left(s+\alpha_{k}\right)>a-\epsilon.$$

Substituting $\beta_k = \alpha_k + \tilde{s}_0$ and $t = s - \tilde{s}_0$, we get

$$\frac{1}{n}\sum_{k=1}^{n}x\left(t+\beta_{k}\right)>a-\epsilon,\qquad\forall t\geq0.$$

For every $c \ge 0$, we now have

$$T(a-\epsilon) \leq \int_{c}^{c+T} \frac{1}{n} \sum_{k=1}^{n} x(t+\beta_{k}) dt = \frac{1}{n} \sum_{k=1}^{n} \int_{c}^{c+T} x(t+\beta_{k}) dt$$
$$= \int_{c}^{a+T} x(t) dt + \frac{1}{n} \sum_{k=1}^{n} \int_{c+T}^{c+T+\beta_{k}} x(t_{k}) dt_{k}$$
$$= \int_{c}^{c+T} x(t) dt + \frac{1}{n} \sum_{k=1}^{n} \left[\int_{c+T}^{c+T+\beta_{k}} x(t) dt - \int_{c}^{c+\beta_{k}} x(t) dt \right]$$
$$\underset{M=\sup_{s\geq 0} |x(s)|}{\leq} \int_{c}^{c+T} x(t) dt + \frac{2M}{n} \sum_{k=1}^{n} \beta_{k}.$$

Hence, for every $c \ge 0$,

$$\frac{1}{T} \int_{c}^{c+T} x(t) dt \ge a - \epsilon - \frac{1}{T} \left(\frac{2M}{n} \sum_{k=1}^{n} \beta_k \right).$$

Therefore, for any large enough T, we obtain

(3.2)
$$\frac{1}{T} \int_{c}^{c+T} x(t) dt > a - 2\epsilon$$

for all $c \geq 0$.

Combining (3.1) and (3.2), we see that if x is almost convergent to $a \in \mathbb{R}$, then

$$\lim_{T \to \infty} \frac{1}{T} \int_{c}^{c+T} x(t) dt = a,$$

uniformly in $c \ge 0$, as claimed.

In the other direction we have the following result.

Theorem 3.3. Let $x \in B$ be a measurable function. If x is uniformly continuous and the limit

$$\lim_{T \to \infty} \frac{1}{T} \int_{c}^{c+T} x(s) \, ds$$

exists uniformly in $c \ge 0$, then x is almost convergent.

Proof. Let x be a uniformly continuous function on $[0, \infty)$. Fix $\epsilon > 0$ and assume that

$$\lim_{T \to \infty} \frac{1}{T} \int_{c}^{c+T} x(t) dt = a,$$

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uniformly in $c \ge 0$. Then there exists T_0 such that for all $T \ge T_0$ and $c \ge 0$,

$$a - \epsilon < \frac{1}{T} \int_{c}^{c+T} x(t) dt < a + \epsilon.$$

Without loss of generality we may assume that $T_0 \ge 1$. Since x(s) is uniformly continuous, there exists $\delta > 0$ such that

$$|s-t| < \delta \Rightarrow |x(s) - x(t)| < \frac{\epsilon}{T_0}$$

for all $s, t \ge 0$. Fix n_0 such that $\frac{T_0}{n_0} < \delta$. Let $c \ge 0$ and consider the interval $[c, c + T_0]$. Define a partition of $[c, c + T_0]$ by

$$c = t_0 < t_1 < \ldots < t_{n_0} = c + T_0$$

where $t_k = c + \frac{T_0}{n_0}k = c + \alpha_k$ and $\alpha_k = \frac{T_0}{n_0}k$. Denote by U(x) and L(x) the upper and lower Darboux sums, respectively, that is,

$$U(x) = \sum_{i=1}^{n_0} x(t_i^U)(t_i - t_{i-1})$$

and

$$L(x) = \sum_{i=1}^{n_0} x(t_i^L)(t_i - t_{i-1}),$$

where

$$t_{i}^{U} = \operatorname{argmax} \left\{ x\left(t\right): \ t \in \left[t_{i-1}, t_{i}\right] \right\}$$

and

$$t_{i}^{L} = \operatorname{argmin} \left\{ x\left(t\right) : \ t \in [t_{i-1}, t_{i}] \right\}.$$

Then

$$U(x) - L(x) \leq \sum_{i=1}^{n_0} \left| x\left(t_i^U\right) - x\left(t_i^L\right) \right| \left| t_i - t_{i-1} \right| < \sum_{i=1}^{n_0} \frac{\epsilon}{T_0} \left| t_i - t_{i-1} \right|$$
$$= \frac{\epsilon}{T_0} T_0 = \epsilon$$

and

$$L(x) \leq \int_{c}^{c+T_{0}} x(t) dt \leq U(x).$$

On the one hand, we have

$$T_0(a+\epsilon) > \int_c^{c+T_0} x(t) dt \ge L(x) > U(x) - \epsilon = \sum_{i=1}^{n_0} x(t_i^U) \underbrace{|t_i - t_{i-1}|}_{\frac{T_0}{n_0}} - \epsilon$$
$$\ge \frac{T_0}{n_0} \sum_{i=1}^{n_0} x(c+\alpha_i) - \epsilon$$

$$\Rightarrow \frac{1}{n_0} \sum_{i=1}^{n_0} x \left(c + \alpha_i \right) < a + \epsilon \left(1 + \frac{1}{T_0} \right).$$

On the other hand,

$$T_0(a-\epsilon) < \int_{c}^{c+T_0} x(t) dt \le U(x) < L(x) + \epsilon = \sum_{i=1}^{n_0} x(t_i^L) \underbrace{|t_i - t_{i-1}|}_{\frac{T_0}{n_0}} + \epsilon$$

$$\leq \frac{T_0}{n_0} \sum_{i=1}^{n_0} x \left(c + \alpha_i \right) + \epsilon$$

 $\Rightarrow \frac{1}{n_0} \sum_{i=1}^{n_0} x \left(c + \alpha_i \right) > a - \epsilon \left(1 + \frac{1}{T_0} \right).$ Therefore $\forall c \ge 0,$

$$a - 2\epsilon \le a - \epsilon \left(1 + \frac{1}{T_0}\right) < \frac{1}{n_0} \sum_{i=1}^{n_0} x \left(c + \alpha_i\right) < a + \epsilon \left(1 + \frac{1}{T_0}\right) \le a + 2\epsilon.$$

It follows that

$$a - 2\epsilon \le \liminf_{c \to \infty} \frac{1}{n_0} \sum_{i=1}^{n_0} x \left(c + \alpha_i \right) \le \limsup_{c \to \infty} \frac{1}{n_0} \sum_{i=1}^{n_0} x \left(c + \alpha_i \right) \le a + 2\epsilon.$$

Since

$$p(x) = \inf_{n; \gamma_1, \dots, \gamma_n} \left[\limsup_{c \to \infty} \frac{1}{n} \sum_{k=1}^n x(c + \gamma_k) \right]$$

and

$$-p\left(-x\right) = \sup_{m;\,\beta_1,\ldots,\beta_m} \left[\liminf_{c \to \infty} \frac{1}{m} \sum_{k=1}^m x\left(c + \beta_k\right) \right]$$

we obtain

$$a - 2\epsilon \le -p(-x) \le p(x) \le a + 2\epsilon$$

Since $\epsilon > 0$ was arbitrary, it follows that

$$p\left(x\right) = -p\left(-x\right)$$

and hence x(s) is almost convergent by Proposition 3.1, as asserted.

Remark. An example due to I. Shafrir [10] shows that Theorem 3.3 is not true for all measurable $x \in B$.

4. Ergodic theory

In this section we formulate and prove our main result. Recall that a *nonexpansive* continuous (nonlinear) semigroup on a Banach space E is a family $S = \{S(t)\}_{t\geq 0}$ of (nonexpansive) self-mappings of E satisfying the following conditions:

(4.1)
$$S(t+r) = S(t) S(r) \text{ for all } t, r \ge 0;$$

(4.2)
$$S(0) = I;$$

(4.3) S(t)x is continuous in t for each $x \in E$;

(4.4)
$$||S(t)x - S(t)y|| \le ||x - y||$$
 for all $t \ge 0$ and $x, y \in E$.

We denote by FixS the set of all common fixed points of S. That is,

$$Fix\mathcal{S} := \{ x \in E : S(t) | x = x \text{ for all } t \ge 0 \}.$$

Let E^* denote the dual of an arbitrary real Banach space $(E, \|\cdot\|)$. We denote the value of $x^* \in E^*$ at $x \in E$ either by $x^*(x)$ or by $\langle x, x^* \rangle$. The duality mapping $J: E \to 2^{E^*}$ is defined by

(4.5)
$$Jx := \{x^* \in E^* : \langle x, x^* \rangle = \|x\|^2 = \|x^*\|^2\}.$$

We are now ready to formulate and prove our main result, where we use the integral introduced by Bochner in [2].

Theorem 4.1. Let $(E, \|\cdot\|)$ be a real uniformly smooth Banach space and let $S = \{S(t)\}_{t\geq 0}$ be a nonexpansive continuous semigroup on E. Assume that $FixS \neq \emptyset$. Then for each $x \in E$, there exists a point $v \in FixS$ such that, for each $y \in E$,

$$\lim_{T \to \infty} \left\langle y, \frac{1}{T} \int_{c}^{c+1} J(S(t)x - v) dt \right\rangle = 0,$$

uniformly in $c \geq 0$.

Proof. Fix $x \in E$ and consider the function $g: E \times [0, \infty) \to \mathbb{R}$ defined by

(4.6)
$$g(z,t) := \|S(t)x - z\|^2, \ z \in E, \ t \ge 0$$

Since the nonexpansive semigroup S has a fixed point by assumption, this function is clearly bounded, for each fixed $z \in E$, in the variable $t \in [0, \infty)$.

Now let Lim be an arbitrary Banach limit on B and define the function $f:E\to \mathbb{R}$ by

(4.7)
$$f(z) := \lim_{t \to \infty} \|S(t)x - z\|^2, \ z \in E.$$

It is clear that f is a well-defined convex function and that

(4.8)
$$\lim_{\|z\|\to\infty} f(z) = \infty.$$

We denote the set of minimizers of f over E by A.

Using the uniform smoothness of $(E, \|\cdot\|)$ (which is equivalent to the uniform Fréchet differentiability of $\|\cdot\|^2$) and applying Lemma 2.2, we see that the duality mapping J of E is single-valued and continuous, the function f is Gâteaux differentiable at each point $z \in E$, and that

(4.9)
$$f'(z)(y) = 2\lim_{t \to \infty} \langle -y, J(S(t)x - z) \rangle$$

for all $y \in E$.

Since the space E is reflexive, and the continuous and convex function f satisfies (4.8), the set of minimizers A is non-empty, bounded, closed and convex.

We also observe that f is a Liapunov function for the semigroup S. Indeed, for any $r \geq 0$ and $z \in E$, we have

$$f(S(r)z) = \lim_{t \to \infty} \|S(t)x - S(r)z\|^2 = \lim_{t \to \infty} \|S(t+r)x - S(r)z\|^2$$
$$= \lim_{t \to \infty} \|S(r)S(t)x - S(r)z\|^2 \le \lim_{t \to \infty} \|S(t)x - z\|^2 = f(z).$$

Hence A is invariant under S. Recalling that uniformly smooth Banach spaces have the fixed point property for nonexpansive mappings and invoking either a common fixed point theorem of Bruck [3, p. 59] or a more recent one by Suzuki [11, p. 1016], we conclude that A must contain a fixed point v of S.

Consider now another Banach limit Lim on B and the corresponding function $\widetilde{f}: E \to \mathbb{R}$ defined by

(4.10)
$$\widetilde{f}(z) := \lim_{t \to \infty} \|S(t)x - z\|^2, \ z \in E.$$

This function attains its minimum over E at a fixed point \tilde{v} of S. Since $\lim_{t\to\infty} \|S(t)x - w\|^2$ exists for all $w \in FixS$, we have

$$f\left(v\right) \leq f\left(\widetilde{v}\right) = \widetilde{f}\left(\widetilde{v}\right) \leq \widetilde{f}\left(v\right) = f\left(v\right)$$

Hence $f(v) = \tilde{f}(\tilde{v})$ and so f attains its minimum over E at the same point $v \in FixS$ for any Banach limit Lim. Therefore, by (4.9),

(4.11)
$$f'(v)(y) = 2\lim_{t \to \infty} \langle -y, J(S(t)x - v) \rangle = 0$$

for any Banach limit Lim and each $y \in E$.

Now fix a point $y \in E$, and let c and T be two numbers in $[0, \infty)$. Consider the function $h: [0, \infty) \to E^*$ defined by

(4.12)
$$h(t) := J(S(t)x - v), \ 0 \le t < \infty,$$

and the function $u:[0,\infty)\to\mathbb{R}$ defined by

(4.13)
$$u(s) := \langle y, h(s) \rangle, \ s \in [0, \infty)$$

The function h is Bochner integrable and continuous, and the function u belongs to B. Hence

(4.14)
$$\left\langle y, \int_{c}^{c+T} h(t) dt \right\rangle = \int_{c}^{c+T} \langle y, h(t) \rangle dt = \int_{c}^{c+T} u(t) dt.$$

Since $\lim_{s\to\infty} u(s) = 0$ for any Banach limit Lim, the function $u \in B$ is almost convergent to 0 by definition and

(4.15)
$$\lim_{T \to \infty} \frac{1}{T} \int_{c}^{c+T} u(t) dt = 0,$$

uniformly in $c \ge 0$, by Theorem 3.2.

It now follows from (4.14) that

(4.16)
$$\lim_{T \to \infty} \left\langle y, \frac{1}{T} \int_{c}^{c+T} J\left(S\left(t\right)x - v\right) dt \right\rangle = 0,$$

uniformly in $c \ge 0$, as asserted.

The proof of Theorem 4.1 may be considered an application of the so-called "optimization method" [9].

In the special case where E is a Hilbert space, we see that the semigroup $S = \{S(t)\}_{t\geq 0}$ is weakly almost convergent to a fixed point of S.

Corollary 4.2. Let H be a real Hilbert space and let $S = \{S(t)\}_{t\geq 0}$ be a nonexpansive continuous semigroup on H. Assume that $FixS \neq \emptyset$. Then there exists a point $v \in FixS$ such that, for each $y \in H$,

$$\lim_{T \to \infty} \left\langle y, \frac{1}{T} \int_{c}^{c+T} S(t) x dt \right\rangle = \left\langle y, v \right\rangle,$$

uniformly in $c \geq 0$.

We do not know if Theorem 4.1 remains valid when the semigroup S is defined only on a closed and convex subset of the Banach space E. However, Corollary 4.2 is known to hold in this case too (*cf.* [6, p. 327], [7, p. 270] and [8, p. 547]).

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SIMEON REICH

Department of Mathematics, The Technion - Israel Institute of Technology, 32000 Haifa, Israel *E-mail address*: sreich@tx.technion.ac.il

AYA WALLWATER

 $\label{eq:linear} \begin{array}{l} \mbox{Department of Mathematics, The Technion - Israel Institute of Technology, 32000 Haifa, Israel $$E-mail address: aya.wallwater@gmail.com $$$